

# **Kylin: Ab-Initio DMRG Package User Guide**

Version 1.2.0

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# **Contents**





# <span id="page-3-0"></span>**1 Introduction**

#### <span id="page-3-1"></span>**1.1 Overview**

Kylin is an *ab-initio* quantum chemistry software package for evaluating electronic structures of molecular systems with high precision and efficiency. The *ab-initio* methods are fundamental and powerful tools in quantum chemistry researches. Because their dependence on artificial assumptions and parameter fittings is less than many other popular methods such as the density functional theory (DFT), the *ab-initio* methods are usually more reliable, but more expensive at the same time, in the study of electronic structures of complex systems such as radicals, transition metal compounds, and large conjugated molecules. Energies, properties, and many interesting chemical reactions of these systems are strongly influenced by their near-degenerate electronic configurations, as well as the fast movements and interactions of electrons. Kylin can be used for precisely measuring electronic correlations in these systems, and predicting energies, properties and reactions with extensive treatment.

The heart of Kylin is an efficient implementation of the density matrix renormalisation group (DMRG) method, which is an accurate approximation of the classical full configuration interaction (Full-CI) method but can be applied on large active spaces with more than 100 active orbitals. Besides, Kylin also features extensive capabilities in pre- and post-DMRG treatments, including Hartree-Fock self-consistent field (HF-SCF), complete active space SCF (CASSCF), single-reference and multi-reference CI, single-reference and multi-reference perturbation theories (PT), as well as DMRG wave function analysis and geometry optimization. Therefore, Kylin can also be used as an independent quantum chemical software, with no necessity to involve additional packages.

#### <span id="page-3-2"></span>**1.2 Features**

The developers of Kylin aim to provide an efficient implementation of the *ab-initio* quantum chemistry DMRG method. Beyond that, the developers also want to create a complete package for general *ab-initio* quantum chemistry researches, which includes all necessary procedures in a typical wave-function-based calculation. The following methods are available in the 1.0 version of Kylin:

- Hartree-Fock SCF, including the restricted approach for closed-shell systems and the unrestricted one for open-shell systems;
- Second-order Møller-Plesset perturbation theory;
- Full-CI, CASCI and CISD with the graphical unitary group approach (GUGA);
- DMRG based on the matrix product states (MPS);
- CASSCF and DMRG-SCF, based on the second-order Werner-Meyer-Knowles method;
- Multi-reference CI, with CASSCF/DMRG-SCF reference wave function;
- Multi-reference Epstein-Nesbet perturbation theory, with CASSCF/DMRG-SCF reference wave function.

The available methods are also listed in Figure[.1.](#page-5-1) Besides, there are also some practical functions in Kylin package, including

- population analysis for both classical CI-type wave functions and DMRG wave functions;
- geometry optimization in Hartree-Fock, CASSCF and DMRG-SCF calculations.

More methods and functions will be introduced in further releases.



<span id="page-5-1"></span>Figure 1: Available methods in Kylin 1.2.

## <span id="page-5-0"></span>**1.3 Copyright and Citation**

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Any publication resulting from use of this program must acknowledge the following article:

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# <span id="page-7-0"></span>**2 Installation and Running**

## <span id="page-7-1"></span>**2.1 Installation**

The latest version of Kylin is distributed as a pre-compiled binary. After your download request is approved, a download link will be sent to your email address. See the [official website](https:://kylin-qc.com)<sup>[1](#page-7-4)</sup> for more information.

It is easy to install Kylin on your computer: firstly you need to download the zip file, then extract this file and set the required environment variables. After that, the Kylin program is ready for work. For example, you can extract the zipped file as

```
unzip kylin-latest.zip
```
Then a new directory kylin appears, which containing two sub-directories bin and share. Note the two sub-directories must be always placed in the same directory. You can put the kylin directory wherever you want (e.g. Applications/kylin in your home directory, as shown in the following examples).

The binary is compiled on an intel platform running Ubuntu 20.04 and the AVX2 instructions must be enabled.

## <span id="page-7-2"></span>**2.2 Environment**

The only environment required by Kylin is KYLIN, which is the absolute path of Kylin directory. The environment can be automatically initialized by adding the following two lines into your .bashrc file.

```
export KYLIN=$HOME/Applications/kylin
export PATH=$KYLIN/bin:$PATH
```
Note that \$HOME/Applications/kylin is the directory of Kylin. Besides, to run Kylin parallely, the OMP\_NUM\_THREADS must be declared. The value of this environment variable should be the number of CPU cores.

export OMP\_NUM\_THREADS=24

## <span id="page-7-3"></span>**2.3 Running**

Once the environment variable KYLIN is successfully loaded, and the kylin command could be found in your PATH, the Kylin program can be used as

<span id="page-7-4"></span><sup>1</sup><https:://kylin-qc.com>

kylin INPUT\_FILE

Then all output will be printed on the screen, which can be redirected to a file, as

```
kylin INPUT_FILE > OUTPUT_FILE
```
Since all temporary files, such as the molecular orbital integrals, will be stored in the tmp folder in the current working directory, we recommend to place your working directory on a disk with fast I/O, for example, on a solid state drive.

# <span id="page-9-0"></span>**3 Input and Output Files**

## <span id="page-9-1"></span>**3.1 Input File**

The syntax of Kylin's input file is simple. Currently there are only 4 kinds of entries in the input file, which are

- 1. module, surrounded by a pair of square brackets "[" and "]";
- 2. keyword and its value(s), which should be separated by at least one separator (space or "="), for example, keyword = value. Input keywords do not have case or underscore sensitivity, which means that InputKeyword and input\_keyword are regarded as exactly the same.
- 3. comment, which is similar to python. The single-line comment starts with hashtag symbol (#), while the multi-line comment is surrounded by triple quotes  $('")$ .
- 4. line break. If there are too many values which can not be put in one line, the backslash character  $(\setminus)$  can be put at the end of the line.

Note that the calculation of a certain method (eg. METHOD) can only be initialized with the [METHOD] label, and all the necessary keywords used in the METHOD must be put under its label.

Here is an example input file.

```
[info]
    # coordination file
   coord = example.xyz
   # multiple basis sets
   basis = 6-31g C cc-pvtz N cc-pvdz \
                   O S cc-pvqz
   pg = c1 # not use point group symmetry
'''
multi-line comment
.<br>د د د
[integral]
```
[hf]

The case of the module names and keywords are ignored. However, the case of some values, such as the value of the coord in the above example, must be specified clearly, because it denotes the name of another file, and file names in Linux are case-sensitive.

The detailed keywords in each module are listed in the following sections.

## <span id="page-10-0"></span>**3.2 Output File(s)**

When running a calculation, a temporary folder tmp will be created in the working directory, which stores atomic orbital integrals and some other scratch files. Besides, some useful output will also be stored in this folder, including the molecular orbital file and the related molden file.

To visualize molecular orbitals with molden file, we recommend to use the Multiwfn software.

# <span id="page-11-0"></span>**4 Geometry and Basis Set**

As we can see in the input example in the last section, a calculation should always start with the [info] module, which defines the basic information of the system, including the geometry, basis set and point group of the molecule. Kylin can also read such information from a MOLDEN file, but point group symmetry will not be used when using a MOLDEN file as input.





Table 1: Keywords in Info.

### <span id="page-12-0"></span>**4.1 Geometry**

Molecular geometry in Kylin is set by the keyword coord, as

coord = XYZ\_FILE

where XYZ\_FILE is the relative path to a standard XYZ file defining the cartesian coordinates (default in Ångstrom units). Usually we put the XYZ file in the same directory of our input file, in this case XYZ\_FILE is the name of this XYZ file. For example, the following is a XYZ file defining the structure of a naphthalene molecule.





In the first line the number of atoms in this molecule is declared. If atomic unit is used, "a.u." or "bohr" should be put at the beginning of second line. Other strings in the second line are recognized as comment and ignored.

#### <span id="page-13-0"></span>**4.2 Basis Set**

There are four ways to specify basis set with basis keyword.

1. single basis set

basis =  $6-31g$ 

2. multiple basis set

basis =  $6-31g$  C O cc-pvtz N cc-pvqz

(C and O with cc-pVTZ, N with cc-pVQZ, other atoms with 6-31G)

3. multiple basis set

basis =  $C$  0 cc-pvtz N cc-pvqz

(C and O with cc-pVTZ, N with cc-pVQZ)

4. multiple basis set

basis = specified

Then the basis sets are specified in coordination file, for example



The available basis sets are listed in the file \$KYLIN/share/basis/basis\_list. This file actually provides indices of the basis set files in the same directory.

If the basis name contains space character, the space character should be replaced by underscore character in input file. For example, if using "Ahlrichs pVDZ" basis set, the basis keyword should be set as

basis = Ahlrichs\_pVDZ

You can add new basis sets into this directory if necessary, by putting a Molcas-style basis set file into this directory and adding its name to the basis\_list file. Please visit the [Basis Set Exchange](http://www.basissetexchange.org)<sup>[2](#page-14-1)</sup> website for more basis sets.

The ECP basis sets are not supported currently.

Only spherical basis functions are used in Kylin currently.

#### <span id="page-14-0"></span>**4.3 Point Group Symmetry**

The point group symmetry will be automatically determined, unless the keyword pg is set to C1. The supported point groups and the numbering of their irreducible representations (irreps) are listed in Table [2.](#page-15-0) Note that currently point group symmetries are not supported in some modules.

<span id="page-14-1"></span><sup>2</sup><http://www.basissetexchange.org>

Point group	irrep							
	$\mathbf{1}$	$2\overline{ }$			$3 \qquad 4 \qquad 5 \qquad 6$		7	8
$C_1$	$\boldsymbol{A}$							
$C_i$		$A_q$ $A_u$						
$C_s$		$A'$ $A''$						
$C_2$		$A$ $B$						
$C_{2v}$			$A_1$ $B_2$ $B_1$ $A_2$					
$C_{2h}$ (main $C_2$ axis is $C_2(z)$ )			$A_q$ $A_u$ $B_u$ $B_q$					
$C_{2h}$ (main $C_2$ axis is not $C_2(z)$ )			$A_q$ $B_u$ $A_u$ $B_q$					
$D_2$	A		$B_1$ $B_2$ $B_3$					
$D_{2h}$			$A_g$ $B_{3u}$ $B_{2u}$ $B_{1g}$ $B_{1u}$ $B_{2g}$ $B_{3g}$ $A_u$					

<span id="page-15-0"></span>Table 2: Supported point groups and the numbering of their irreps.

## <span id="page-16-0"></span>**5 Hartree-Fock SCF**

The Hartree-Fock module [hf] in Kylin supports both the restricted and the unrestricted Hartree-Fock methods with acceleration techniques like direct inversion of the iterative subspace (DIIS). When a Hartree-Fock calculation is successfully converged, a set of canonical molecular orbitals is saved to the tmp/hf.ScfOrb file, which is a standard Molcas-style orbital file and can be used independently. The corresponding molden file is saved as tmp/hf.molden, which can be visualized with the Multiwfn software. Besides, population analysis will be automatically performed at the end of Hartree-Fock calculations.

The keywords in the Hartree-Fock module are listed in Table [3.](#page-16-1) Note that the point group symmetry of the molecule is directly taken from the [info] module and can not be changed in the Hartree-Fock module.

<span id="page-16-1"></span>



Table 3: Keywords in Hartree-Fock.

# <span id="page-18-0"></span>**6 Configuration Interaction**

The configuration interaction (CI) calculations are performed by the graphical unitary group approach (GUGA). [\[1](#page-60-0)[–6\]](#page-60-1) The full CI (FCI) method are implemented in module [GUGACI], while the CI singles-and-doubles (CISD) method will be implemented in next version. The CISD wavefunction includes HF configuration as well as its single and double excitation configurations, while the FCI wavefunction further includes all other higher excitation configurations. The keywords are listed in Table [4.](#page-18-1)



<span id="page-18-1"></span>Table 4: Keywords in GUGACI.

# <span id="page-19-0"></span>**7 Møller-Plesset Perturbation Theory**

The MP2 method in Kylin requests a restricted Hartree-Fock calculation, which is followed by a second-order Møller-Plesset correction on energy. [\[7\]](#page-60-2) Unrestricted MP2 calculation will be supported in the future.

The MP2 module can be initialized with [mp2]. Keywords of the [mp2] module are listed in Table. [5.](#page-19-1)



<span id="page-19-1"></span>Table 5: Keywords in MP2.

The MP2 module regrads non-valence orbitals as frozen by default. Keywords frozen, frozen\_irrep and full can be used to specify the number of frozen orbitals. To avoid conflict, only one of them can be valid. The following is an example of MP2 calculation of  $C_6H_6$  benzene with point group symmetry.

```
[info]
   coord = benzene.xyz
   basis = 6-31g[integral]
[hf]
[mp2]
   # frozen = 6
   frozen_irrep = 2 0 2 0 1 0 1 0
   # full
```
# <span id="page-20-0"></span>**8 DMRG**

In Kylin package, the implemented DMRG method is based on the matrix-product state (MPS) ansatz. The symmetry-protected DMRG method is implemented as the [DMRG] module. The [DMRG] module support the  $U(1)$  and  $SU(2)$  spin symmetry and the point group symmetry listed in Table [2.](#page-15-0) The [DMRG] module can run in doubleprecision and mixed-precision. The mixed-precision DMRG is suggested when the truncation dimension M is larger than 1500. The keywords of the [DMRG] module are listed in Table [6.](#page-20-1)

<span id="page-20-1"></span>





Table 6: Keywords in DMRG.

## <span id="page-23-0"></span>**9 CASSCF and DMRG-SCF**

The CASSCF and DMRG-SCF modules in Kylin are based on the second-order Werner-Meyer-Knowles method. [8-[13\]](#page-61-0) In these two modules, both CI coefficients and molecular orbital coefficients are optimized simultaneously. These two methods are widely applied to evaluate static electronic correlations in molecular systems, and their wave functions are essential for multi-reference dynamic electronic correlation calculations.

The CASSCF method applies to small active spaces with less than 16 active orbitals. For larger active spaces, we recommend using the DMRG-SCF method.Note: Neither [casscf] nor [dmrgscf] requires molecular integral from precedent modules.

#### <span id="page-23-1"></span>**9.1 CASSCF**

The CASSCF module can be initialized with either [mcscf] or [casscf]. Before initializing the [casscf] module, a set of initial molecular orbitals and integrals must be accessible, which are usually generated by Hartree-Fock calculations. Therefore, in most cases the [info], [integral] and [hf] modules should be finished before using [casscf]. The keywords in CASSCF calculations are listed in Table[.7.](#page-23-2)

<span id="page-23-2"></span>





Table 7: Keywords in CASSCF.

## <span id="page-25-0"></span>**9.2 DMRG-SCF**

The DMRG-SCF module is initialized with [dmrgscf]. As the CASSCF and DMRG-SCF methods share the similar orbital optimization procedures, all keywords in Table [7](#page-23-2) are also valid in DMRG-SCF module. Besides, the DMRG-SCF module also inherits all DMRG keywords in Table [6.](#page-20-1)









Table 8: Keywords in DMRG-SCF.

#### <span id="page-29-0"></span>**9.3 Start from Hartree-Fock Orbital**

If Hartree-Fock calculation has finished, an orbital file named "HFOrbForMCSCF" occurs under "tmp" path, you can start MCSCF directly using keyword "fileorb".

(1) c1 Hartree-Fock caltulation input file:

```
[info]
basis = sto-3gcoord = benzene.xyz
pg = c1[integral]
[hf]
guess = true
moint = false
acc_{conv} = cdiistol = 1e-5
```
(2) start c1 MCSCF caltuation from Hartree-Fock orbital:

```
[info]
basis = sto-3gcoord = benzene.xyz
pg = c1[dmrgscf]
fileorb = tmp/HFOrbForMCSCF
irrep = 1
2S = 0frozen = 0docc = 18 #first 18 orbitals set as docc
active = 6 # [19, 24]orbitals set as active orbitals
rotate = 17 19 #because 17 is PI orbital but 19 not
print_mo_indices #you can check orbital index and irreps in output
```
#### <span id="page-30-0"></span>**9.4 Start from [casscf/dmrgscf] Exported Orbital**

The lastest MCSCF orbital is saved in a file named "MCSCFOrb" under "tmp" path, you can resume MCSCF directly using keywords "continue" and "fileorb".

(1) D2h Hartree-Fock calculation input file:

```
[info]
basis = sto-3gcoord = benzene.xyz
[integral]
[hf]
guess = true
moint = false
acc_{conv} = cdiistol = 1e-5
```
(2) start D2h MCSCF caltuation from Hartree-Fock orbital:

```
[info]
basis = sto-3gcoord = benzene.xyz
[dmrgscf]
fileorb = tmp/HFOrbForMCSCF
irrep = 12S = 0frozen = 0 0 0 0 0 0 0 0doc = 65430000active = 0 0 0 0 2 2 1 1
print_mo_indices
```
(3) resume D2h MCSCF calculation from MCSCF exported orbital:

[info]  $basis = sto-3g$ 

```
coord = benzene.xyz
[dmrgscf]
continue = true
fileorb = tmp/MCSCF/MCSCFOrb
irrep = 1
2S = 0frozen = 0 0 0 0 0 0 0 0doc = 65430000active = 0 0 0 0 2 2 1 1
print_mo_indices
```
#### <span id="page-31-0"></span>**9.5 Start from External Integrals**

The CASSCF and DMRG-SCF modules in Kylin can be used as stand-alone MCSCF solvers, as long as the initial molecular orbitals integrals are provided. In this case, the initial molecular orbitals and integrals are directly loaded from external files, while the [info], [integral] and [hf] modules are not necessary. The standalone MCSCF solvers do not directly update the input molecular orbitals, instead the update matrix U will be printed. The MCSCF orbitals C can be simply obtained by

$$
\mathbf{C}=\mathbf{C}_0\mathbf{U},
$$

in which  $C_0$  is the initial molecular orbital coefficient matrix, which is not required in the standalone MCSCF calculation.

To start a MCSCF calculation independently, the option independent must be enabled, and the initial molecular orbital integrals must be provided by setting the integral keyword to a FCIDUMP file. The FCIDUMP is a standard format to store one- and two-electron integrals, and can be generated by many quantum chemistry softwares such as Molpro, Molcas as well as Kylin(in modules [dmrg]/[MCSCF], full integral can be dumped to running path by setting keyword "dumpAll"/"dump\_all" to true).

Note: in the standalone MCSCF calculations in Kylin, the input FCIDUMP file must contain integrals of all the molecular orbitals, not only in the active space; standalone MCSCF is incompatible with geometry optimization, because geometry optimization requires orbitals

(1) standalone MCSCF from full integral which is not generated by [casscf/dmrgscf] module

```
[dmrgscf]
   independent = true
   integral = FCIDUMP_full
   pg = d2h #specify its point group
   2S = 0irrep = 1M = 200 50 50 #use a less M
   frozen = 0 0 0 0 0 0 0 0doc = 65430000active = 0 0 0 0 2 2 1 1
   nactel = 6 #must specify active electrons in standalone mcscf
   print_mo_indices
   dump_all #dump FCIDUMP for next calculation with larger M
```
(2) resume standalone MCSCF from full integral which is generated by [casscf/dmrgscf] module

```
[dmrgscf]
   continue = true
   independent = true
   integral = FCIDUMP_full
   pg = d2h #specify its point group
   irrep = 1
   2S = 0M = 1000 500 100 #revoke MCSCF with a greater M
   frozen = 0 0 0 0 0 0 0 0doc = 65430000active = 0 0 0 0 2 2 1 1
   nactel = 6 #specify active electrons
   print_mo_indices
   dump_all #dump FCIDUMP for next calculation with larger M
```
#### <span id="page-32-0"></span>**9.6 Tips to Flexibly Modify Active Space**

(1) If a molecule's point group is  $C_i$ ,  $C_s$  or  $C_2$ , target active orbitals with the same irreducible representation may be not consecutive. Then, we need to use keyword

"rotate" to make such active orbitals consecutive. Take t-Bu-DHP as example, it has a total of 14  $\pi$  orbitals, 7 with Ag symmetry and 7 with Au symmetry respectively. However, 7 Ag-symmetry  $\pi$  orbitals are not consecutive, so we need to exchange corresponding orbitals like C1.

```
[info]
basis = sto-3gcoord = t-Bu-DHP.xyz
[integral]
[hf]guess = true
moint = falseacc_{conv} = cdiistol = 1e-5
```
Check tmp/hf.molden, you will find 45th and 46th Ag-orbitals are not  $\pi$  orbitals, while 43th and 44th orbitals is target active orbitals. So you need to exchange them in input file.

```
[info]
basis = sto-3gcoord = t-Bu-DHP.xyz
[dmrgscf]
fileorb = tmp/HFOrbForMCSCF
irrep = 2\# calculate \ (S_1)\ state
2S = 0frozen = 0 0
#Ag docc is [1,44] based 1
#Au docc is [82,124] based 1
docc = 44 \, 43#Ag active is [45,51] based 1
#Au active is [125,131] based 1
active = 7 7M = 400 100 50reorder_method = 0 #for set to 0 for this system
print_mo_indices
```

```
#45th and 46th Ag-orbital NOT PI orbital
#43th and 44th Ag-orbital PI orbital
#exchange 43th with 45th
#exchange 44th with 46th
rotate = 43 45 44 46 #orbital indice is from 1 to total orbitals
nstate = 1InitialConfig = 222u000222d000
```
t-BU-DHP's coordinate is as follows:





(2) [MCSCF] module always print out chkmcscf.molden under tmp path, you can check active space as soon as possible(this molden's occupation numbers are fixed to RHF, you can ignore it).

(3) Latest orbitals are saved to MCSCFOrb and MCSCFOrb.molden under tmp/MC-

SCF path, you can use it to resume MCSCF calculation with keywords "continue = true" and "fileorb = tmp/MCSCF/MCSCFOrb". Since orbitals have been rotated according to keyword "rotate" in former input file(if "rotate" is not specified, you can skip this tip), the updated input file should remove keyword "rotate" to resume MCSCF.For example, resume benzene's dmrsgcf calculation with latest MCSCFOrb with point group = C1:

```
[info]
basis = sto-3gcoord = benzene.xyz
pg = c1[dmrgscf]
continue = true
fileorb = tmp/MCSCF/MCSCFOrb
irrep = 12S = 0frozen = 0docc = 18active = 6'''
#because 17 has exchanged with 19 in former mcscf calculation
#so remove keyword "rotate"
#rotate = 17 19
'''
print_mo_indices
```
Similarly, MCSCF calculation can also be resumed by hf.molden/MCSCFOrb.molden or other molden files generated by other softwares if point group  $= C1$ : a. start mcscf calculation with hf.molden

```
[info]
inputType = molden
moldenfile = tmp/hf.molden
[integral]
[dmrgscf]
irrep = 1
```
 $2S = 0$ frozen =  $0$ docc  $= 18$  $active = 6$ rotate =  $17 \t19$ print\_mo\_indices

b. resume mcscf calculation with MCSCFOrb.molden

```
[info]
inputType = molden
moldenfile = tmp/MCSCF/MCSCFOrb.molden
[integral]
[dmrgscf]
irrep = 1
2S = 0frozen = 0docc = 18active = 6, , ,
#because 17 has exchanged with 19 in former mcscf calculation
#so remove keyword "rotate"
#rotate = 17 \t19'''
print_mo_indice
```
(4) Latest orbitals are also saved to mcscfStatex.molden and mcscfAverage.molden under tmp/MCSCF path, so you can use MULTIWFN to check optimized orbitals in time. If you find additional docc- or virtual-type orbitals should be covered in active space, you can use latest MCSCFOrb to resume MCSCF calculation by updating corresponding parameters your input file:

a. modify the orbital number corresponding to irreducible representation in docc/active or only active(if only virtual orbitals need to be covered) domain;

b. exchange target orbitals with outside orbitals of updated active space if necessary with keyword "rotate" like C1 dmrgscf calculation.

(5) Latest orbitals are also saved to molcas.mcscfStatex and molcas.mcscfAverage under tmp/MCSCF path. If point group  $= C1$ , these molcas orbital files can be imported to openMOLCAS or other softwares via MOKIT, but attention should be paid to the consistency of basis sets.

## <span id="page-39-0"></span>**10 MRCI**

The multi-reference configuration interaction (MRCI) module in Kylin supports the uncontracted (UC) and the externally contracted (EC) MRCI methods. This module can be initialized with the label [mrci].

Since the second order Epstein-Nesbet perturbation theory (ENPT2) energy could be obtained during the EC-MRCI calculation, this module could also perform ENPT2 calculation.

Currently, the configuration state function (CSF) which is the eigenfunction of operator  $\hat{S}^2$  is used as wavefunction basis. Moreover, the electron excitations from doubly-occupied (or core) orbitals to other orbitals are not considered.

<span id="page-39-1"></span>**Keyword Description** method Which method to be performed, should be uc, ec or enpt2, default ec. Note that if method  $=$  ec, the ENPT2 energy could also be obtained. ref Reference configurations, default all for all CAS configurations. If reference configurations are selected, these selected configurations ("2", "u", "d" and "0" represent double, positively spin-coupled, negatively spin-coupled and zero occupation respectively) should be written in a file (one configuration on each line) and the file name should be passed to this keyword. 2S The value of spin multiplicity 2S, default 0 (singlet). frozen Number of frozen orbitals in each irreducible representation of the point group symmetry (irrep). The frozen orbitals are doubly-occupied and the electron excitations from frozen orbitals to other orbitals are not allowed. active Number of active orbitals in each irrep. external Number of external orbitals in each irrep, default all of external orbitals in each irrep. charge Charge of the system, default 0. irrep Irrep index of the target state(s), default 1.

The keywords in the MRCI module are listed in Table [9.](#page-39-1)





Table 9: Keywords in MRCI.

Here is an example of  $N_2$ .

```
[info]
coord = n2.xyzbasis = cc-pvdzpg = c1[integral]
[hf]
rhf
[mrci]
method = ec2S = 0frozen = 4active = 6
```
# <span id="page-41-0"></span>**10.1 Computation with External Integrals**

<span id="page-41-1"></span>The MRCI module in Kylin could be used as a stand-alone program if the molecular orbital integrals are provided. Some extra required parameters are listed in Table [10.](#page-41-1)



Here is an example of hexacene. The FCIDUMP file contains active and external orbital integrals. The CollectedCFGs.txt contains the selected reference CSFs.

```
[mrci]
independent
method = ecintegral = FCIDUMP
ref = CollectedCFGs.txt
2S = 0active = 26nelec = 26pg = c1irrep = 1
```
The ref file (CollectedCFGs.txt) supports two formats.

First, one CSF and the corresponding coefficient a line (this format is the same as the output file of EDGA module)

22222222222220000000000000 0.93

Second, one CSF a line

# <span id="page-44-0"></span>**11 MRPT**

Currently, Kylin only supports the second order Epstein-Nesbet perturbation theory (ENPT2) using CASCI/CASSCF/sCI/DMRG2sCI wavefunction as reference. Since the ENPT2 energy could be obtained during the EC-MRCI calculation, the ENPT2 calculation is implemented in MRCI module (see Section [10\)](#page-39-0).

# <span id="page-45-0"></span>**12 Geometry Optimization**

Geometry Optimization module [geoopt] in Kylin supports the localization of equilibrium structure in redundant internal coordinates. Currently, only the following methods are available:

(1) restricted Hartree-Fock;

(2) state-specific CASSCF without froen orbitals;

(3) state-specific DMRGSCF without frozen orbitals.

This version has several limitations:

(1) only support Abelian point group, non-Abelian point group can't be sustained with 100% confidence;

(2) can't deal with the degradation of structural symmetry.

Ways to smash above limitations, Z\_mat format, constrained optimization and other functions will be supported in the future.

Besides, attention must be paid to the memory requirement in MCSCF geometry optimization: Twice the memory of two-electron atomic integrals is required by MCSCF while four times the memory of two-electron atomic integrals is required by MCSCF geometry optimization, so you'd better select a suitable machine to do MCSCF geometry optimization.

The Geometry Optimization module can be started with [geoopt]. The keywords in this module are listed in Table [11.](#page-45-1)

<span id="page-45-1"></span>



Table 11: Keywords in Geometry Optimization.

#### <span id="page-46-0"></span>**12.1 Output Norm of Geometry Optimization**

(1) During geometry optimization, energy, max\_force, rms\_force, max\_displace and rms displace of each structure is updated in a text file named "GeooptList.txt" in the current working directory, so you can check the progress of geometry optimization directly.If max opt iter is too small, the end of GeooptList.txt won't tell if geometry optimization converged or not.

(2) All structures generated in geometry optimization are saved to a folder with the same name of \*\*\*.xyz or \*\*\*.molden you specify in [info]. This folder is created under tmp path.

(3) Cartesian coordinates, Cartesian gradient and steps are all written in x.xyz file(0.xyz is the initial guess structure specified in [info], 1.xyz is the first structure produced by geometry optimization) and saved to the above folder. If abortion occurs during MCSCF geometry optimziation, you can use x.xyz and corresponding MCSCFOrb with keyword "continue = true" to resume geometry optimization directly.

(4) For visualization, each structure has a copy of x.gjf file generated at the same time.

(5) If numeric hessian is calculated, hessian matrix will be updated in text file numHess.txt in time. And all frequencies as well as zero point energy will be output at the tail of your log file.

## <span id="page-47-0"></span>**12.2 Start MCSCF Geometry Optimization from [hf] Module**

(1) If a molecular's active space has been picked out, you can run MCSCF geometry optimization from [hf] module, as following input file shows:

```
[info]
basis = sto-3gcoord = c18h18.xyz[integral]
[hf]
guess = true
moint = falseacc_{conv} = cdiistol = 5e-5[dmrgscf]
irrep = 1
2S = 0frozen = 0 0 0 0docc = 28, 26, 0, 0active = 0 0 9 9M = 500 300 50
print_mo_indices
tol_macro = 1e-5
nstate = 1InitialConfig = 222220000222200000
[geoopt]
method = dmrgscfoptimizer = gediis
```
hess\_type = schlegel

The geometry of  $C_{18}H_{18}$  polyacetylene is defined in the file  $c8h18.xyz$  in chapter Examples.

(2) Start MCSCF geometry optimization from saved HFOrbForMCSCF file, if you have generated Hartree-Fork orbitals:

```
[info]
basis = sto-3gcoord = c18h18.xyz[dmrgscf]
fileorb = tmp/HFOrbForMCSCF
irrep = 12S = 0frozen = 0 0 0 0doc = 282600active = 0 0 9 9M = 400 200 50#reorder_method=0
print_mo_indices
tol\_macro = 1e-5nstate = 1InitialConfig = 222220000222200000
[geoopt]
method = dmrgscfoptimizer = gdiis
hess_type = schlegel
```
#### <span id="page-48-0"></span>**12.3 Start MCSCF Geometry Optimization from MCSCF Orbitals**

(1) You can resume MCSCF geometry optimization from any external molden if point group =  $C1$ . Take ben's geometry optimization with point group =  $C1$  as example:

```
[info]
inputtype = molden
moldenfile = tmp/MCSCF/MCSCFOrb.molden
[integral]
[dmrgscf]
irrep = 1
2S = 0frozen = 0doc = 18active = 6,,,
#because 17 has exchanged with 19 in former mcscf calculation
#so remove keyword "rotate"
#rotate = 17 19
'''
print_mo_indices
[geoopt]
method = dmrgscfoptimizer = gediis
hess_type = schlegel
```
(2) You can resume MCSCF geometry optimization from MCSCF exported orbitals of initial guess structure:

```
[info]
basis = sto-3gcoord = t-Bu-DHP.xyz[dmrgscf]
continue = true
fileorb = tmp/MCSCF/MCSCFOrb
irrep = 2
2S = 0frozen = 0 0
```

```
docc = 44 43
active = 7 7M = 400 100 50reorder_method = 0
print_mo_indices
'''
#because active space has been made consecutive
#in former mcscf calculation
#so remove keyword "rotate"
#rotate = 43 45 44 46
,,,
nstate = 1InitialConfig = 222u000222d000[geoopt]
method = dmrgscfoptimizer = gediis
hess_type = schlegel
```
(3) You can resume MCSCF geometry optimization from MCSCF exported orbitals of latest generated structure if abortion occurs:

```
[info]
basis = sto-3gcoord = tmp/c8h18/3.xyz#because coordinates has been rotated in single point calculation
#we have to maintain the consistency of orbitals and coordinates
rotcoord = false
[dmrgscf]
continue = true
fileorb = tmp/MCSCF/MCSCSFOrb
irrep = 1
2S = 0frozen = 0 0 0 0doc = 282600active = 0 0 9 9M = 400 200 50
```

```
#reorder_method=0
print_mo_indices
tol\_macro = 1e-5nstate = 1InitialConfig = 222220000222200000
[geoopt]
```

```
method = dmrgscf
optimizer = gdiis
hess_type = schlegel
```
# <span id="page-52-0"></span>**13 Examples**

In this section, we give some practical examples to show how to use Kylin in quantum chemistry researches.

## <span id="page-52-1"></span>**13.1 CASSCF and DMRG-SCF**

The following is an example of CASSCF calculation of  $C_{12}H_{14}$  polyacetylene.

```
[info]
   coord = c12h14.xyzbasis = 6-31gpg = c1[integral]
[hf]
   guess = true
   moint = false #because moint can be generated in MCSCF
[casscf]
   2S = 0frozen = 0docc = 37active = 12tol_davidson = 1e-7
   nstate = 1nactel = 12rotate = 47 53 48 54
```
The geometry of  $C_{12}H_{14}$  polyacetylene is definded in the file c12h14.xyz, as





As shown above, if running CASSCF/DMRGSCF calculation directly from [hf] module, keyword "moint" in [hf] module should be set to false, because molecular integral can be generated in MCSCF calculation. The active space used in the CASSCF calculation consists of 12  $\pi$  orbitals and 12  $\pi$  electrons. However, the indices of the  $\pi$  orbitals are not consecutive. We use the rotation option to exchange the 47-th molecular orbital with the 53, and 48 with 54.

We can also use DMRG-SCF method to perform MCSCF calculation. However, if there are less than 16 active orbitals, the classical CASSCF method are usuaslly much cheaper, faster but more accurate than the DMRG-SCF method. The accuracy of DMRG-related methods are controlled by the number of reserved states M.

#### <span id="page-53-0"></span>**13.2 InitialConfig in DMRG and DMRGSCF**

A suitable initial configuration should be given in input file when running [dmrg] and [dmrgscf] to calculate molecular systems with point group symmetry higher than C1 in Kylin. The keyword "InitialConfig" is only used to describe the configuration in active space, and it should be declared in the form of "2ud0", among which 2 denotes doubly occupied, u, singly occupied by a spin-up active electron, d, singly occupied by a spin-down active electron, and 0, an empty active orbital. A suitable initial configuration MUST meet TWO requirements:

(1) The direct product of irreducible representations of all active electrons MUST EQUAL to the value of keyword "irrep" you specified, which means you should know all active orbitals' irreducible representation in advance.Note:Direct product rules can refer to [Point Group Symmetry Table](https://zh.webqc.org/symmetry.php)<sup>[3](#page-54-0)</sup> website.

(2) The spin number(2S) of active space MUST EQUAL to the value of keyword "2S" you specified.

Otherwise, an assertion related to quantum number error may occur like "system  $qn = = Q0$ ". The following are examples to illustrate how to specify keyword "InitialConfig" in [dmrgscf](the same is with [dmrg]):

(1) Ground state of benzene with point group symmetry  $= D2h$ :

```
[info]
basis = sto-3gcoord = benzene.xyz
[integral]
[hf]
guess = true
moint = falseacc_{conv} = cdiistol = 1e-5[dmrgscf]
#ground state
irrep = 1
2S = 0frozen = 0 0 0 0 0 0 0 0doc = 65430000active = 0 0 0 0 2 2 1 1
M = 200 50 50print_mo_indices
```
<span id="page-54-0"></span><sup>3</sup><https://zh.webqc.org/symmetry.php>

```
#In tmp/hf.molden, six active PI orbitals'
#irreducible representation as well as
#occupation numbers are
#B1u B1u B2g B2g B3g Au
# 2 0 2 0 2 0
#According to direct product rules
#of electronic configuration and 2S = 0#202020 is suitable
initialconfig = 202020
```
(2)  $1^1B_{2u}$  state of benzene with point group symmetry = D2h:

```
[info]
basis = sto-3gcoord = benzene.xyz
[integral]
[hf]guess = true
moint = falseacc_{conv} = cdiistol = 1e-5[dmrgscf]
#B2u's first singlet state
irrep = 3
2S = 0frozen = 0 0 0 0 0 0 0 0doc = 65430000active = 0 0 0 0 2 2 1 1
M = 200 50 50print_mo_indices
#In tmp/hf.molden, six active PI orbitals'
#irreducible representation as well as
#occupation numbers are
#B1u B1u B2g B2g B3g Au
```

```
# 2 0 2 0 2 0
#According to direct product rules
#of electronic configuration
#B2u = B1u * B3g
#as well as 2S = 0#2u20d0 is suitable
InitialConfig = 2u20d0
```
#### <span id="page-56-0"></span>**13.3 Mixed-Precision DMRG**

The following is an example of mixed-precision DMRG calculation of benzene molecule. Note that do not use sweep precision  $=$  single to run single-precision sweeps in DMRG calculations because they may lead to unreliable results. To run reliable single-precision, use sweep precision = mix and nsweep  $d = 0$ .

```
[info]
basis = 6-31gcoord = benzene.xyz
pg = c1[integral]
[hf]
2S = 0guess = true
[dmrg]
2S = 0doc = 11active = 20M = 2000 400 400tol davidson = 1e-3 1e-5
tol_svd = 1e-50 1e-7sweep_precision = mix
mixed_double = true
symm = su2u1
```
The geometry of benzene molecule is definded in the file benzene.xyz, as



## <span id="page-57-0"></span>**13.4 HF geometry optimization**

 $10$ 

The following is an example of Hartree-Fock geometry optimization of  $C_{18}H_{18}$ polyacetylene.

```
[info]
basis = sto-3gcoord = c18h18.xyz[integral]
[hf]
guess = true
moint = false #molecular integral is not required in hf geoopt
acc_{conv} = cdiistol = 1e-5[geoopt]
method = hfoptimizer = rfo
```
hess\_type = schlegel max\_opt\_iter = 20

The geometry of  $C_{18}H_{18}$  polyacetylene is definded in the file c18h18.xyz, as





# **References**

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